### nature medicine



**Brief Communication** 

https://doi.org/10.1038/s41591-024-03201-5

# The recombinant shingles vaccine is associated with lower risk of dementia

Received: 7 June 2024

Accepted: 17 July 2024

Published online: 25 July 2024



Maxime Taquet <sup>1,2</sup> , Quentin Dercon <sup>3</sup>, John A. Todd<sup>4</sup> & Paul J. Harrison <sup>1,2</sup> .

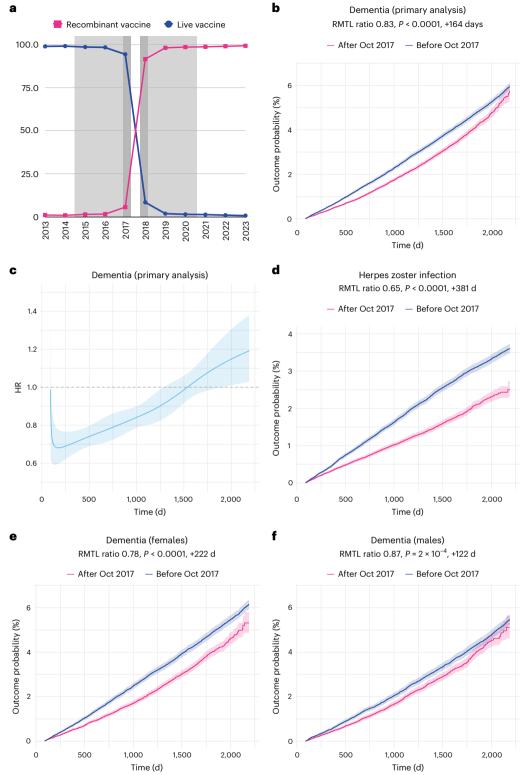
There is emerging evidence that the live herpes zoster (shingles) vaccine might protect against dementia. However, the existing data are limited and refer only to the live vaccine, which is now discontinued in the United States and many other countries in favor of a recombinant vaccine. Whether the recombinant shingles vaccine protects against dementia remains unknown. Here we used a natural experiment opportunity created by the rapid transition from the use of live to the use of recombinant vaccines to compare the risk of dementia between vaccine types. We show that the recombinant vaccine is associated with a significantly lower risk of dementia in the 6 years post-vaccination. Specifically, receiving the recombinant vaccine is associated with a 17% increase in diagnosis-free time, translating into 164 additional days lived without a diagnosis of dementia in those subsequently affected. The recombinant shingles vaccine was also associated with lower risks of dementia than were two other vaccines commonly used in older people: influenza and tetanus-diphtheria-pertussis vaccines. The effect was robust across multiple secondary analyses, and was present in both men and women but was greater in women. These findings should stimulate studies investigating the mechanisms underpinning the protection and could facilitate the design of a large-scale randomized control trial to confirm the possible additional benefit of the recombinant shingles vaccine.

Varicella-zoster virus is a herpesvirus that causes chickenpox (varicella) and shingles (herpes zoster). Given the risk of deleterious consequences of shingles¹, in many countries vaccination is now recommended for older adults. Recent studies have generated substantial interest in the potential protective effect of shingles vaccination against dementia²-7. However, most have compared vaccinated with unvaccinated cohorts, a design prone to selection bias, including healthy-vaccinee bias, meaning that individuals who decide to get vaccinated are generally healthier than those who choose not to³. The only exception is a recent natural experiment that compared people just above and just below the eligibility age cut-off: this study provided evidence that live shingles vaccination might protect against dementia³. The effect was

present only in women and was limited to the live vaccine, which is now discontinued in the United States and is being withdrawn in many other countries, in favor of a recombinant vaccine. Whether the latter provides protection against dementia remains unknown<sup>7</sup>.

Here we used electronic health records (EHRs) and leveraged a natural experiment opportunity in the United States, created by the rapid uptake of the recombinant vaccine and the concurrent disuse of the live vaccine after October 2017 (Fig. 1a). By comparing the incidence of dementia in those who received a shingles vaccine just after versus just before this step change, we could accurately estimate the association between exposure to the recombinant vaccine and subsequent incidence of dementia diagnosis. We used propensity-score

<sup>1</sup>Department of Psychiatry, University of Oxford, Warneford Hospital, Oxford, UK. <sup>2</sup>Oxford Health NHS Foundation Trust, Warneford Hospital, Oxford, UK. <sup>3</sup>Max Planck Centre for Computational Psychiatry and Ageing Research, Queen Square Institute of Neurology and Mental Health Neuroscience Department, Division of Psychiatry, University College London, London, UK. <sup>4</sup>Centre for Human Genetics, Nuffield Department of Medicine, University of Oxford, Oxford, UK. ⊠e-mail: maxime.taquet@psych.ox.ac.uk; paul.harrison@psych.ox.ac.uk



**Fig. 1**| **Association between recombinant shingles vaccine and risk of dementia within 6 years of vaccination. a**, Proportion (in %) of each vaccine being received, showing the step change that occurred in October 2017. The exposure windows used in the primary analysis are shown in gray, and the restricted exposure windows that were used in a robustness analysis are shown in dark gray. **b**, Curves representing the Kaplan–Meier estimates of the cumulative incidence of dementia diagnosis in the 3 months to 6 years after shingles vaccination in the primary analysis (n = 103,837 in each cohort). **c**, Curve representing the time-varying hazard ratio (HR) for the risk of dementia in the primary analysis (HR < 1 indicates a lower risk of dementia in those who received the vaccine after October 2017); n = 103,837 in each cohort. **d**, Curves

representing the Kaplan–Meier estimates of the cumulative incidence for herpes zoster infection (n = 103,837 in each cohort). **e,f**, Curves representing the Kaplan–Meier estimates of the cumulative incidence of dementia among women (**e**) and men (**f**) (n = 54,846 in each cohort for females, and n = 43,990 for males). The RMTL ratio, the P value (obtained using the z-test defined in the SurvRM2 package in R, two-sided and not corrected for multiple comparisons) for the association and the additional time that affected people lived diagnosis-free are reported above each figure. The exact P values are  $2.9 \times 10^{-15}$  (**b**),  $4.3 \times 10^{-41}$  (**d**) and  $2.3 \times 10^{-15}$  (**e**). Shaded areas in **b**–**f** represent 95% CIs of the cumulative incidences (**b**,**d**–**f**) or the time-varying HR (**c**).

Table 1 | Summary of results for all analyses

	n	RMTL ratio (95% CI)	P value	Additional time lived diagnosis-free among affected people, days (95% CI)
Propensity-score matched cohort studies				
Primary analysis	103,837	0.83 (0.79-0.87)	2.9 × 10 <sup>-15</sup>	164 (124–205)
Aligned follow-up horizons (cohort-wise)	103,837	0.83 (0.79-0.87)	4.3 × 10 <sup>-15</sup>	165 (121–209)
Predominant vaccine	100,532	0.82 (0.79-0.86)	7.5 × 10 <sup>-16</sup>	173 (131–214)
Adjusted for social deprivation	110,062	0.84 (0.80-0.88)	1.4 × 10 <sup>-14</sup>	157 (117–196)
Excluding those who received both vaccines	66,998	0.79 (0.74-0.83)	1.5 × 10 <sup>-17</sup>	214 (165–263)
Restricted exposure window (6 months)	20,243	0.83 (0.76-0.92)	0.00025	160 (74–246)
Females	54,846	0.78 (0.73-0.83)	2.3 × 10 <sup>-15</sup>	222 (168–276)
Males	43,990	0.87 (0.81-0.94)	0.00028	122 (56–187)
Other outcomes				
Mortality	103,837	0.98 (0.95–1.01)	0.22	18 (-11-47)
Composite endpoint of dementia or mortality	103,837	0.93 (0.91-0.96)	3.8 × 10 <sup>-7</sup>	64 (39–89)
Negative control outcome	103,837	0.97 (0.91–1.03)	0.29	32 (-27-90)
Herpes zoster infection	103,837	0.65 (0.61-0.69)	4.3 × 10 <sup>-41</sup>	381 (326–435)
Herpes zoster infection (females)	54,846	0.64 (0.59-0.69)	1.4 × 10 <sup>-26</sup>	393 (322-463)
Herpes zoster infection (males)	43,990	0.65 (0.58-0.72)	4.8 × 10 <sup>-15</sup>	387 (293–482)
Coarsened exact matched cohort studies				
Parametric estimates of variance	82,102	0.82 (0.77-0.87)	1.6 × 10 <sup>-11</sup>	192 (137–248)
Bootstrap estimates of variance	82,102	0.82 (0.79-0.86)	<0.001	192 (151–235)
Aligned follow-up horizons (pairwise)	82,102	0.85 (0.81-0.89)	<0.001	157 (111–203)

n, number of individuals in each cohort. The sample size for the analysis adjusted for social deprivation was slightly higher because it was conducted at a later point and TriNetX is a live network that continuously accrues data. The P values correspond to the z-test defined in the SurvRM2 package in R, except for the last two rows, for which a bootstrap test with 1,000 repetitions was used. All P values are two-sided and were not corrected for multiple comparisons.

matching to further control for drifts in the characteristics of the vaccinated population.

A total of 103,837 individuals who received their first dose of shingles vaccine between November 2017 and October 2020 (95% received the recombinant vaccine; median (interquartile range, IQR) follow-up, 4.15 (3.16–4.99) years) were propensity-score matched to 103,837 individuals who received their first dose between October 2014 and September 2017 (98% received the live vaccine; median (IOR) follow-up. 6.0 (5.2–6.0) years; see Supplementary Table 1 for baseline characteristics, Supplementary Table 2 for person-year of follow-up and number of dementia cases and Supplementary Table 3 for the distribution of vaccinations per year). Individuals in the group that predominantly received the recombinant vaccine were at a lower risk of developing dementia over the next 6 years (restricted mean time lost (RMTL) ratio, 0.83; 95% confidence interval (CI), 0.80-0.87; P < 0.0001) than were those in the group that predominantly received the live vaccine, translating into 17% more time lived diagnosis-free, or 164 (95% CI 124–202) additional diagnosis-free days among those affected (Fig. 1b and Table 1).

The association was found consistently across dementia subcategories, except for frontotemporal and Lewy body dementia (Supplementary Table 4). Those vaccinated after October 2017 were also less likely to have a herpes zoster infection in the 6 years post-vaccination (RMTL ratio, 0.65; 95% CI, 0.61–0.69; P < 0.0001). There was no difference in negative control outcomes nor all-cause mortality, and results remained significant for the composite of dementia or death (Table 1 and Extended Data Figs. 1 and 2).

Similar results were found when cohorts were restricted to those who received the predominant vaccine; when exposure windows were limited to 6 months on either side of the step change; when individuals were excluded who received both vaccines; and when adjusting for socioeconomic deprivation (Table 1 and Extended Data Fig. 3). Comparable differences in risk were observed when the follow-up period was entirely

before the COVID-19 pandemic (hazard ratio (HR), 0.74;95% CI, 0.62–0.90; log-rank P=0.0019; no evidence of violation of the proportional hazard assumption, P=0.56). The results were also replicated when using coarsened exact matching for a core set of covariates (age, sex, race and neurological comorbidities), with consistent findings using parametric or bootstrap estimates of the variance (Table 1 and Extended Data Fig. 3). Aligning the follow-up horizons at the cohort level (in the primary analysis) and at the level of matched pairs of individuals (in the coarsened exact matching analysis) did not affect the results (Table 1; see Supplementary Tables 5–9 for baseline characteristics of secondary analyses).

The association between the recombinant shingles vaccine and dementia was found among both women and men (Fig. 1), and the effect was greater in women than in men (22% versus 13% more time lived diagnosis-free; permutation test: P = 0.017). The association with herpes zoster infection was also found in both women and men, but without moderation by sex (36% versus 35% more time lived diagnosis-free; permutation test: P = 0.87; Table 1).

Both shingles vaccines were associated with a lower risk of dementia than were the influenza and tetanus–diphtheria–pertussis (Tdap) vaccines (RMTL ratios, 0.73-0.86; all P < 0.0001; Extended Data Fig. 4 and Supplementary Table 10).

The time-varying HRs became significantly lower than 1 within the first year of follow-up and then progressively approached (and, in some but not all robustness analyses, exceeded) 1 towards the end the 6-year follow-up (Fig. 1 and Extended Data Fig. 5), with differences in the shape of the curve apparent between men and women (Extended Data Fig. 5). The time-varying HR for the risk of herpes zoster infection followed a similar pattern (Extended Data Fig. 5).

#### **Discussion**

Compared with the live vaccine, receiving the recombinant shingles vaccine is associated with a lower risk of developing dementia within

the next 6 years. An increase by 17% in time lived without a dementia diagnosis (or 164 additional days among those later affected) is clinically meaningful and a particularly large effect size given that the live shingles vaccine is itself associated with a lower risk of dementia³, as replicated here. The consistency of the association in both sexes is important from a public-health perspective and for the credibility of the findings. No association between the live shingles vaccine and dementia was found in males in the natural experiment in Wales³, which called its causal interpretation into question $^7$ . In the present study, the protective effect was 9% greater in women than in men, which cannot be explained by better protection against shingles in women than men—a finding that merits further investigation.

This study is observational, and causality cannot be demonstrated. However, the rapid transition from the live to recombinant vaccine offered a window of opportunity to estimate associations with dementia without the main sources of selection bias. The observation that all-cause mortality was very similar between cohorts, the lack of association with a composite negative control outcome and the robustness of the findings across several secondary analyses support the absence of obvious residual confounding. These results provide a rationale for conducting a randomized control trial aiming to confirm the findings and inform future cost–effectiveness analysis of the recombinant vaccine.

The mechanisms by which the shingles vaccine might protect against dementia remain unclear. One explanation is that it protects against herpes infection, which itself causes dementia<sup>9,10</sup>. A link between herpes infections and dementia has been hypothesized for decades<sup>11,12</sup>. Although this hypothesis remains debated<sup>13</sup>, it would explain why both shingles vaccines are associated with lower risks of dementia, why the recombinant vaccine offers greater protection (it better protects against shingles<sup>1</sup>, as replicated in this study) and why the protective effect against dementia seems to wane towards later years of follow-up (as does the protective effect against herpes zoster infections). Additionally, the recombinant vaccine contains immunostimulants<sup>14</sup> that could contribute to the protective effect against dementia. The observation that the time-varying HR became greater than 1 towards the end of the follow-up could imply that the vaccine delays, rather than prevents, dementia onset. However, this was not robustly observed across analyses (Extended Data Fig. 5) and therefore requires replication.

This study has several limitations in addition to those inherent to studies based on EHR data (such as no validation of diagnoses and sparse information on socioeconomic and lifestyle factors; see Supplementary Note 1). First, being diagnosis-free does not imply being disease-free, because there can be delays in diagnosis. However, if it is assumed that diagnostic delays are similar between cohorts, then differences in disease-free time will follow differences in diagnosis-free time. Second, we did not investigate the impact of multiple vaccine doses. Third, the number of people who received a shingles vaccine increased between before and after the introduction of the recombinant vaccine, justifying the need for additional control of covariates (as achieved here using matching). However, the fact that the association was maintained when the exposure window was reduced to 6 months on either side of the step change in recombinant-vaccine uptake argues strongly against the possibility that drifts in population characteristics could explain the main findings. Fourth, the paired nature of the data was not accounted for in the estimation of CIs within the primary analysis, an approach which is recommended by some authors<sup>15</sup> but not others<sup>16</sup>. In any case, when this was accounted for in the secondary analysis using coarsened exact matching, little difference was observed in the estimated confidence intervals.

#### **Online content**

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information,

acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41591-024-03201-5.

#### References

- Le, P. & Rothberg, M. B. Cost-effectiveness of the adjuvanted herpes zoster subunit vaccine in older adults. *JAMA Intern. Med.* 178, 248 (2018).
- Scherrer, J. F. et al. Impact of herpes zoster vaccination on incident dementia: a retrospective study in two patient cohorts. PLoS ONE 16. e0257405 (2021).
- Eyting, M., Xie, M., Heß, S. & Geldsetzer, P. Causal evidence that herpes zoster vaccination prevents a proportion of dementia cases. Preprint at medRxiv https://doi.org/10.1101/ 2023.05.23.23290253 (2023).
- Harris, K. et al. The impact of routine vaccinations on Alzheimer's disease risk in persons 65 years and older: a claims-based cohort study using propensity score matching. J. Alzheimers Dis. 95, 703–718 (2023).
- Lophatananon, A. et al. The association of herpes zoster and influenza vaccinations with the risk of developing dementia: a population-based cohort study within the UK Clinical Practice Research Datalink. BMC Public Health 23, 1903 (2023).
- Schnier, C., Janbek, J., Lathe, R. & Haas, J. Reduced dementia incidence after varicella zoster vaccination in Wales 2013–2020. Alzheimers Dement. 8, e12293 (2022).
- Reardon, S. Does shingles vaccination cut dementia risk? Large study hints at a link. Nature https://doi.org/10.1038/d41586-023-01824-1 (2023).
- 8. Jackson, L. A. et al. Functional status is a confounder of the association of influenza vaccine and risk of all cause mortality in seniors. *Int. J. Epidemiol.* **35**, 345–352 (2006).
- 9. Levine, K. S. et al. Virus exposure and neurodegenerative disease risk across national biobanks. *Neuron* **111**, 1086–1093 (2023).
- Cairns, D. M., Itzhaki, R. F. & Kaplan, D. L. Potential involvement of varicella zoster virus in Alzheimer's disease via reactivation of quiescent herpes simplex virus type 1. J. Alzheimers Dis. 88, 1189–1200 (2022).
- Libíková, H., Pogády, J., Wiedermann, V. & Breier, S. Search for herpetic antibodies in the cerebrospinal fluid in senile dementia and mental retardation. Acta Virol. 19, 493–495 (1975).
- 12. Itzhaki, R. F. et al. Herpes simplex virus type 1 in brain and risk of Alzheimer's disease. *Lancet* **349**, 241–244 (1997).
- Johannesdottir Schmidt, S. A., Veres, K., Sørensen, H. T., Obel, N. & Henderson, V. W. Incident herpes zoster and risk of dementia: a population-based Danish cohort study. *Neurology* 99, e660–e668 (2022).
- Heineman, T. C., Cunningham, A. & Levin, M. Understanding the immunology of Shingrix, a recombinant glycoprotein E adjuvanted herpes zoster vaccine. *Curr. Opin. Immunol.* 59, 42–48 (2019).
- Austin, P. C. The use of propensity score methods with survival or time-to-event outcomes: reporting measures of effect similar to those used in randomized experiments. Stat. Med. 33, 1242–1258 (2014).
- 16. Stuart, E. A. Matching methods for causal inference: a review and a look forward. Stat. Sci. 25, 1–21 (2010).

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended

use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2024

#### Methods

#### Study design and data source

We used EHR data from the TriNetX US Collaborative Network covering 62 healthcare organizations (hospitals, primary care and specialist providers) and including data from >100 million patients (Supplementary Note 1)<sup>17</sup>. Available data include demographics, diagnoses and medications. Data de-identification formally meets standards set by the Health Insurance Portability and Accountability Act Privacy Rule §164.514(b) (1). This study follows Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines.

TriNetX is a platform that de-identifies and aggregates EHR data from contributing healthcare organizations (HCOs). No recruitment takes place. All patients who are seen at these HCOs have their data de-identified and incorporated into TriNetX. A typical organization will have a complex enterprise architecture in which the data flow through several databases, such as a data warehouse and a research data repository, on its way to TriNetX. TriNetX is a live platform, and data are continuously and regularly refreshed as soon as the HCOs themselves refresh their own data. HCOs update their data at various times, with more than 80% refreshing in 1-, 2- or 4-week frequency intervals. The average lag time for an HCO's source data refresh is 1 month. TriNetX has been used in many prior studies, including a few that investigated dementia as an outcome <sup>17-19</sup>.

#### **Cohorts and exposures**

Cohorts included all patients who received their first shingles vaccine dose at the age of 65 or older between 1 November 2017 and 31 October 2020 (primary cohort) and between 1 October 2014 and 30 September 2017 (comparator cohort). Patients were excluded if, before or up to one month after vaccination, they had any of the following diagnoses recorded in their health records:

- Vascular dementia (10th revision of the International Statistical Classification of Diseases and Related Health Problems (ICD-10) code F01)
- Dementia in other diseases classified elsewhere (F02)
- Unspecified dementia (F03)
- Parkinson's disease (G20)
- Other degenerative diseases of the nervous system (G30–G32), which include all other dementias not mentioned above (for example Alzheimer's disease (G30)).

Exclusion of those with a neurodegenerative disorder that was diagnosed within the first month since vaccination limits the impact of reverse causation owing to pre-existing (but undiagnosed) illness. Individuals vaccinated in October 2017 were excluded because this month marked the transition from the live vaccine to the recombinant vaccine.

#### Covariates

Cohorts were matched for 60 covariates, including sociodemographic factors, comorbidities (capturing major body systems, and those associated with dementia), history of herpes infection and history of influenza vaccination. All covariates (with ICD-10 codes for comorbidities) are listed in Supplementary Table 1. Covariates were selected as follows.

All available sociodemographic factors were selected. These include age, sex (as recorded in the individual's EHR), ethnicity, race and marital status. Age is reported as mean and s.d., but was matched using 2-year bins (65-66,67-68,...) up to age 95; those 95 and older were grouped together. This provides tighter control on age than using it as a continuous variable.

All broad ICD-10 categories of comorbidities were then included to balance comorbidity profiles between cohorts and because an indirect link with dementia can be posited for most comorbidity profiles (for example, respiratory illness increases risk of infection and delirium

and thus dementia; diseases of the ear can increase the risk of hearing loss which is a risk factor for dementia).

Some broad ICD-10 categories were further broken down into their most prevalent constituents. This includes 'Neoplasms' (ICD-10 codes C00–D49), which was deemed too heterogeneous (because it includes both benign and malignant neoplasms); cardiovascular diseases (I00–I99) and psychiatric disorders (F10–F59), given their strong link with dementia; and endocrine, nutritional and metabolic disorders (E00–E89), because they were deemed too heterogeneous and because they contain specific risk factors for dementia, such as overweight and obesity, diabetes, thyroid disorders and vitamin B deficiency. In addition, prior herpes infections (both herpes simplex and herpes zoster) were included as covariates.

Some factors affecting health and healthcare use (ICD-10 codes Z00–Z99) were also included on the basis of whether they differed substantially between unmatched cohorts (standardized mean difference (SMD) > 0.15) with a prevalence of at least 1 in 30 cases in either cohort.

Finally, to capture proxies of vaccine hesitancy, history of influenza vaccination (recommended every year for all adults in the United States) was included  $^{20}$ .

#### **Outcomes**

The primary outcome was a first diagnosis of dementia from 3 months (to exclude delayed diagnosis of pre-existing dementia) to 6 years post-vaccination in a time-to-event analysis. This included any of six ICD-10 codes: vascular dementia (ICD-10 code F01), dementia in other diseases classified elsewhere (F02), unspecified dementia (F03), Alzheimer's disease (G30), frontotemporal dementia (G31.0), and dementia with Lewy bodies (G31.83), as in our previous studies<sup>17</sup>. Secondary outcomes included all-cause mortality (to assess whether vaccines were associated with overall differences in health), the composite of dementia or death (to assess for survivorship bias), each dementia subcategory and herpes zoster infections (ICD-10 code B02), as well as a composite negative control outcome of any acutely painful condition that was not associated with dementia (see Supplementary Note 2 for details).

#### Statistical analyses

Propensity-score matching at a 1:1 ratio with a caliper of 0.1 was used to match cohorts on covariates. Characteristics with a standardized mean difference between cohorts of <0.1 were considered well matched  $^{21}$ . The propensity score was calculated using a logistic regression (implemented by the function Logistic Regression of the scikit-learn package in Python 3.7) including each of the covariates mentioned above. To eliminate the influence of ordering of records, the record order in the covariate matrix was randomized before matching. The matching itself was performed using numpy 1.21.5 in Python 3.7.

Because most individuals vaccinated before October 2017 were matched to individuals vaccinated after October 2017 (but not vice versa), the estimand of the primary analysis is best interpreted as the average treatment effect in the controls.

Incidences of outcomes were calculated using the Kaplan–Meier estimator. The assumption that the hazards were proportional was tested using the generalized Schoenfeld approach implemented in the cox.zph function of the survival package (version 3.2.3) in R. In doing so, the proportionality assumption was found to be violated in the primary analysis (P < 0.0001). Consequently, the Cox proportional-hazard model was not used, and RMTL, which was calculated using R package survRM2 version 1.0.4, was used instead  $^{22-24}$ .

The RMTL is the counterpart of restricted mean survival time <sup>25,26</sup>. The RMTL ratio has a meaningful clinical interpretation: it represents how much more time, on average, an individual has lived without the outcome during the follow-up period<sup>22</sup>. Unless otherwise stated, Cls were estimated using a parametric approach as defined in the SurvRM2 package in R<sup>27</sup>. Absolute differences in RMTL were translated into

additional days lived without a diagnosis of dementia among those subsequently affected, calculated as the difference in RMTL divided by the cumulative incidence in the comparator cohort.

In addition, time-varying HRs were estimated using natural cubic splines fitted to the log-cumulative hazard  $^{28}$ . This was achieved using the generalized survival models of the rstpm2 package (version 1.5.1) in  $R^{29}$ . Splines with 1, 2 and 3 degrees of freedom were estimated for both the baseline log-cumulative hazard and its cohort dependency, and the number of degrees of freedom leading to the lowest Akaike Information Criterion (AIC) was selected.

Moderation by sex was tested using a permutation test, with 1,000 permutations as follows. The RMTL ratio between those vaccinated after versus before October 2017 were first calculated independently for men and women, and their difference was recorded. In each permutation, individuals were then randomly allocated to two groups of the same size as the initial 'women' and 'men' groups, and the analysis was repeated within these groups, thus leading to the calculation of RMTL ratios. The difference in absolute value between these RMTL ratios was recorded for each permutation, generating a distribution of 1,000 differences in RMTL ratios under the null hypothesis. The *P* value for the permutation test was calculated as:

$$P = \frac{1 + n_{>}}{1 + n},$$

where n = 1,000 is the number of permutations, and  $n_s$  is the number of permutations for which the difference in RMTL ratios was greater (in absolute value) than that observed in the non-permuted dataset.

Because we used EHRs with coded health events, if an event was not present, it was considered absent. Missing data for sex, race and ethnicity were assigned their own category, which was included in the propensity-score matching, so that the matched cohorts had approximately equal numbers of patients with unknown sex, race and ethnicity.

Significance for all tests was set at two-sided P < 0.05. Statistical analyses were conducted in R 4.2.1.

#### Secondary analysis

Analyses were repeated after: (1) stratification by sex, given the report that protective effects of the live vaccine were limited to women<sup>3</sup>; (2) restricting cohorts to those known to have received the predominant vaccine during each exposure window; (3) limiting exposure windows to 6 months before and after October 2017, to further decrease influences of drifts in population characteristics; (4) restricting, within the latter cohorts, the follow-up to 18 months so that it occurred entirely before the COVID-19 pandemic and is not subject to any effect that the pandemic might have had on diagnostic trends; (5) excluding those who received both vaccines; and (6) adjusting for socioeconomic deprivation (ICD-10 code Z59 'Problems related to housing and economic circumstances').

Using a restricted set of key covariates (age, sex, race and neurological comorbidities), we then repeated the analysis using coarsened exact matching (to control for non-linear effects and interactions in these confounding factors)<sup>30</sup>, and comparing both parametric and bootstrap (with 1,000 resampling of pairs of matched individuals) estimates of variance (to assess the effect of respecting the paired nature of the data on variance estimates)<sup>16,31</sup>.

In addition, to assess whether observed associations were an artifact of the differences in follow-up times between cohorts, analyses were repeated after aligning follow-up times (at the cohort level in the primary analysis, and at the level of pairs of individuals in the analysis based on coarsened exact matching).

Both shingles vaccines were also compared with Tdap and influenza vaccines to control for non-specific effects of vaccination. These vaccines were given in the same exposure windows as the primary cohorts (for example, when comparing the recombinant vaccine

with the influenza vaccine, the cohort that took the influenza vaccine received it between 1 November 2017 and 31 October 2020). The estimands are best interpreted as conditional average treatment effects (conditional on being in the subpopulation for which covariates overlap between cohorts), because only subgroups within each cohort were successfully matched to each other.

Supplementary Note 3 contains further details on secondary analyses.

#### **Reporting summary**

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

#### **Data availability**

The TriNetX system returned the results of these analyses as csv files, which we downloaded and archived. Aggregate data, as presented in this article, can be freely accessed at <a href="https://osf.io/9frxm/">https://osf.io/9frxm/</a>. The data used for this article were acquired from TriNetX. This study had no special privileges. Inclusion criteria specified in Methods would allow other researchers to identify similar cohorts of patients as we used here for these analyses; however, TriNetX is a live platform with new data being added daily, so exact counts will vary. To gain access to the data, a request can be made to TriNetX (join@trinetx.com), but costs might be incurred, and a data-sharing agreement will be necessary.

#### **Code availability**

The code used to generate the results of this analysis can be freely accessed at https://osf.io/9frxm/.

#### References

- 17. Taquet, M. et al. Neurological and psychiatric risk trajectories after SARS-CoV-2 infection: an analysis of 2-year retrospective cohort studies including 1 284 437 patients. *Lancet Psychiatry* **9**, 815–827 (2022).
- Taquet, M., Geddes, J. R., Husain, M., Luciano, S. & Harrison, P. J. 6-month neurological and psychiatric outcomes in 236 379 survivors of COVID-19: a retrospective cohort study using electronic health records. *Lancet Psychiatry* 8, 416–427 (2021).
- Harrison, P. J. & Luciano, S. Incidence of Parkinson's disease, dementia, cerebrovascular disease and stroke in bipolar disorder compared to other psychiatric disorders: an electronic health records network study of 66 million people. *Bipolar Disord.* 23, 454–462 (2021).
- Taquet, M., Dercon, Q. & Harrison, P. J. Six-month sequelae of post-vaccination SARS-CoV-2 infection: a retrospective cohort study of 10,024 breakthrough infections. *Brain Behav. Immun.* 103, 154–162 (2022).
- Haukoos, J. S. & Lewis, R. J. The propensity score. JAMA 314, 1637–1638 (2015).
- Uno, H. et al. Moving beyond the hazard ratio in quantifying the between-group difference in survival analysis. J. Clin. Oncol. 32, 2380–2385 (2014).
- 23. Wu, H., Yuan, H., Yang, Z., Hou, Y. & Chen, Z. Implementation of an alternative method for assessing competing risks: restricted mean time lost. *Am. J. Epidemiol.* **191**, 163–172 (2022).
- 24. Zhao, L. et al. Estimating treatment effect with clinical interpretation from a comparative clinical trial with an end point subject to competing risks. *JAMA Cardiol.* **3**, 357 (2018).
- Royston, P. & Parmar, M. K. B. Restricted mean survival time: an alternative to the hazard ratio for the design and analysis of randomized trials with a time-to-event outcome. *BMC Med. Res. Methodol.* 13, 152 (2013).
- Kim, D. H., Uno, H. & Wei, L.-J. Restricted mean survival time as a measure to interpret clinical trial results. *JAMA Cardiol.* 2, 1179–1180 (2017).

- Cronin, A., Tian, L. & Uno, H. Strmst2 and Strmst2pw: new commands to compare survival curves using the restricted mean survival time. Stata J. 16, 702–716 (2016).
- Royston, P. & Parmar, M. K. B. Flexible parametric proportional-hazards and proportional-odds models for censored survival data, with application to prognostic modelling and estimation of treatment effects. Stat. Med. 21, 2175–2197 (2002).
- 29. Liu, X.-R., Pawitan, Y. & Clements, M. Parametric and penalized generalized survival models. *Stat. Methods Med. Res.* **27**, 1531–1546 (2018).
- Iacus, S. M., King, G. & Porro, G. Causal inference without balance checking: coarsened exact matching. *Polit. Anal.* 20, 1–24 (2012).
- Austin, P. C. & Small, D. S. The use of bootstrapping when using propensity-score matching without replacement: a simulation study. Stat. Med. 33, 4306–4319 (2014).

#### **Acknowledgements**

This research was supported by the NIHR (https://www.nihr.ac.uk/) Oxford Health Biomedical Research Centre (NIHR203316). The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the UK Department of Health. M.T. is an NIHR clinical lecturer. J.A.T. was also supported by JDRF (4-SRA-2017-473-A-N) and Wellcome (203141/A/16/Z). Q.D. is supported by a Wellcome Trust PhD studentship. The funder of the study had no role in study design, data collection, data analysis, data interpretation or writing of the paper.

#### **Author contributions**

M.T. and P.J.H. had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. Study concept: P.J.H. and J.A.T. Study design: M.T., with input

from P.J.H. and J.A.T. Data analysis: M.T. and Q.D. Data interpretation: M.T., J.A.T. and P.J.H. Drafting of the paper: M.T. Critical revision of the paper for important intellectual content: M.T., Q.D., J.A.T. and P.J.H. Statistical analysis: M.T. and Q.D. Supervision: J.A.T. and P.J.H.

#### **Competing interests**

J.A.T. is a consultant for GSK and co-director of the Oxford-GSK Institute for Molecular and Computational Medicine. GSK had no involvement of any kind in this study and were not aware of it until after the paper was accepted. The other authors declare no competing interests.

#### **Additional information**

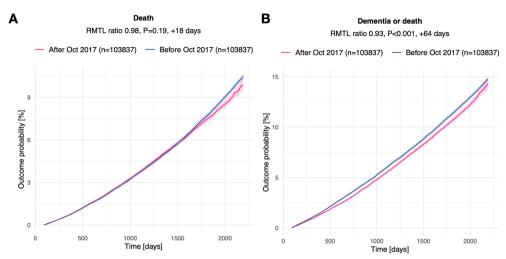
**Extended data** is available for this paper at https://doi.org/10.1038/s41591-024-03201-5.

**Supplementary information** The online version contains supplementary material available at https://doi.org/10.1038/s41591-024-03201-5.

**Correspondence and requests for materials** should be addressed to Maxime Taquet or Paul J. Harrison.

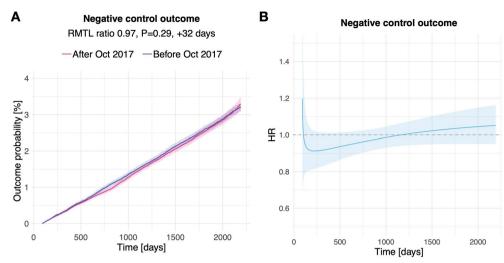
**Peer review information** *Nature Medicine* thanks Theo Vos and the other, anonymous, reviewer(s) for their contribution to the peer review of this work. Primary Handling Editor: Jerome Staal, in collaboration with the *Nature Medicine* team

**Reprints and permissions information** is available at www.nature.com/reprints.



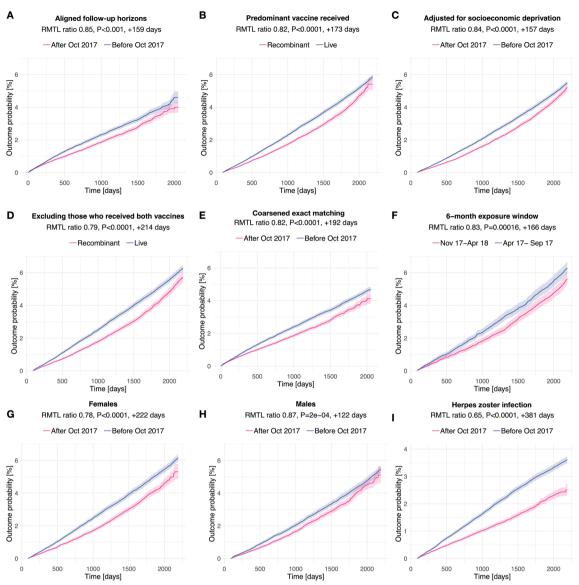
Extended Data Fig. 1 | Kaplan-Meier curves for secondary outcomes in the 3 months-6 years after shingles vaccination in the primary analysis. The curves represent the Kaplan-Meier estimates of the cumulative incidence of death (a) and the composite outcome of death or dementia (b). Shaded areas

around curves represent 95% confidence intervals. n = 103,837 in each cohort. P-values were obtained using the z-test defined in the SurvRM2 package in R, two-sided and not corrected for multiple comparisons. The exact p-values for (B) is  $3.8\times10^{-7}$ .



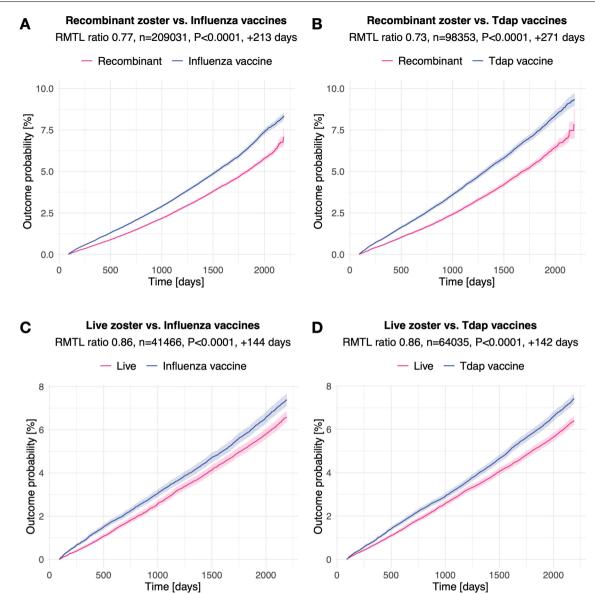
**Extended Data Fig. 2** | **Results for the negative control outcome.** (a) Curves representing the Kaplan-Meier estimates of the cumulative incidence of the negative control outcome in the 3 months-6 years after shingles vaccination. n=103,837 in each cohort. The p-value was obtained using the z-test defined

in the SurvRM2 package in R, two-sided and not corrected for multiple comparisons. (**b**) Curve representing the time-varying hazard ratio for the negative control outcome (n = 103,837 in each cohort). Shaded areas in A and B represent 95% confidence intervals.



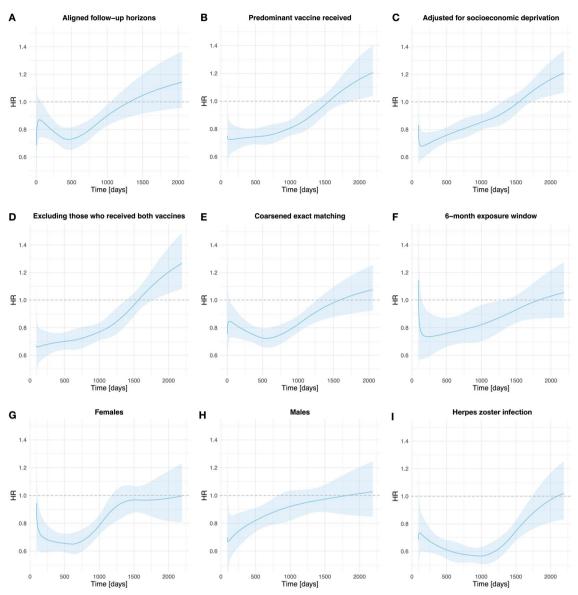
**Extended Data Fig. 3 | Kaplan-Meier curves showing the cumulative incidence of outcomes in the 3 months-6 years after shingles vaccination.**(a-h) Results for dementia in the different robustness analyses. In (A), the results correspond to the coarsened exact matching with pairwise alignment of follow-up horizons. (i) Results for the incidence of herpes zoster infection. The ratio of restricted mean time lost (RMTL), the p-value for the association, and the additional time lived diagnosis-free among affected people are reported above each figure. Curves in all panels represent the Kaplan-Meier estimates of the cumulative incidence of the corresponding outcome. Shaded areas in all panels

represent 95% confidence intervals. See Supplementary Tables 5–9 for baseline characteristics. The number of individuals in each cohort was respectively (A) 82102, (B) 100532, (C) 110062, (D) 66998, (E) 82102, (F) 20243, (G) 54846, (H) 43990, (I) 103837. P-values were obtained using the z-test defined in the SurvRM2 package in R (except for (A) where it was obtained using bootstrap with 1000 repetitions and is reported as <0.001 because all bootstrap replicates of the ratio of RMTL were below 1), two-sided and not corrected for multiple comparisons. The exact p-values are (B)  $7.5\times10^{-16}$ , (C)  $1.4\times10^{-14}$ , (D)  $1.5\times10^{-17}$ , (E)  $1.6\times10^{-11}$ , (G)  $2.3\times10^{-15}$ , and (I)  $4.3\times10^{-41}$ .



**Extended Data Fig. 4 | Kaplan-Meier curves for the comparisons between shingles vaccines and two other vaccines: influenza and Tdap.**(**a-b**) Comparison with the recombinant shingles vaccine. (**c-d**) Comparison with live shingles vaccine. The ratio of restricted mean time lost (RMTL), the number of patients in each cohort, the bootstrap p-value for the association, and the additional time lived diagnosis-free among people affected are reported above each figure. Curves in all panels represent the Kaplan-Meier estimates

of the cumulative incidence of the corresponding outcome. Shaded areas in all panels represent 95% confidence intervals. Baseline characteristics for these comparisons are provided in Supplementary Tables 11–14. The number of individuals in each cohort was respectively (A) 209031, (B) 98353, (C) 41466, and (D) 64035. P-values were obtained using the z-test defined in the SurvRM2 package in R, two-sided and not corrected for multiple comparisons. The exact p-values are (A)  $1.4\times10^{-67}$ , (B)  $2.6\times10^{-53}$ , (C)  $1.2\times10^{-6}$ , and (D)  $2.1\times10^{-9}$ .



**Extended Data Fig. 5** | **Time-varying hazard ratios (HR).** Each curve represents the value of the HR from 3 months to 6 years post-vaccination. In ( $\mathbf{A}$ ), the results correspond to the coarsened exact matching with pairwise alignment of follow-up horizons. A HR < 1 indicates the risk is lower in those vaccinated

predominantly with the recombinant vaccine. The shaded areas around the curves represent 95% CI. The number of individuals in each cohort was respectively ( $\bf A$ ) 82102, ( $\bf B$ ) 100532, ( $\bf C$ ) 110062, ( $\bf D$ ) 66998, ( $\bf E$ ) 82102, ( $\bf F$ ) 20243, ( $\bf G$ ) 54846, ( $\bf H$ ) 43990, ( $\bf I$ ) 103837.

## nature portfolio

Corresponding author(s):	Maxime Taquet and Paul J Harrison
Last updated by author(s):	2024/03/13

## **Reporting Summary**

Nature Portfolio wishes to improve the reproducibility of the work that we publish. This form provides structure for consistency and transparency in reporting. For further information on Nature Portfolio policies, see our <u>Editorial Policies</u> and the <u>Editorial Policy Checklist</u>.

< ∙	トつ	1		Ηı	$\sim$
. )	ıa	ш	15	u	CS

For	all st	atistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.
n/a	Cor	nfirmed
	$\boxtimes$	The exact sample size $(n)$ for each experimental group/condition, given as a discrete number and unit of measurement
	$\boxtimes$	A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
	$\boxtimes$	The statistical test(s) used AND whether they are one- or two-sided  Only common tests should be described solely by name; describe more complex techniques in the Methods section.
	$\boxtimes$	A description of all covariates tested
	$\boxtimes$	A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons
	$\boxtimes$	A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient) AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)
	$\boxtimes$	For null hypothesis testing, the test statistic (e.g. <i>F</i> , <i>t</i> , <i>r</i> ) with confidence intervals, effect sizes, degrees of freedom and <i>P</i> value noted <i>Give P values as exact values whenever suitable.</i>
$\boxtimes$		For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
$\boxtimes$		For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
	$\boxtimes$	Estimates of effect sizes (e.g. Cohen's $d$ , Pearson's $r$ ), indicating how they were calculated
		Our web collection on statistics for higherists contains articles on many of the points above

#### Software and code

Policy information about <u>availability of computer code</u>

Data collection

Data collection is performed using TriNetX user interface. Demographics are coded to HL7 version 3 administrative standards, diagnoses are represented by ICD-10-CM codes, procedures are coded in ICD-10-PCS or CPT, and measurements are coded to LOINC.

Data analysis

Propensity-score matching was achieved within TriNetX by calculating the propensity score with the scikit-learn package in Python 3.7 and using a nearest neighbour search with numpy 1.21.5 in Python 3.7. Data analysis includes all the processing of data from the life tables of matched cohorts and include Kaplan-Meier estimation, restricted mean time lost estimates, bootstrapping, permutation test and generation of figures. All these steps were conducted in R version 4.2.1. The assumption that the hazards were proportional was tested using the generalized Schoenfeld approach implemented in the cox.zph function of the survival package (version 3.2.3). The restricted mean time lost was calculated using R package survRM2 version 1.0.4. Bootstrapping and permutation tests were conducted using in-house R code that is publicly available. Time-varying hazard ratios were calculated using the generalized survival models of the rstpm2 package (version 1.5.1) in R.

All in-house R code is available via the following link: https://osf.io/9frxm/

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Portfolio guidelines for submitting code & software for further information.

#### Data

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A description of any restrictions on data availability
- For clinical datasets or third party data, please ensure that the statement adheres to our policy

The TriNetX system returned the results of these analyses as csv files, which we downloaded and archived. Aggregate data, as presented in this article, can be freely accessed at https://osf.io/9frxm/. The data used for this article were acquired from TriNetX. This study had no special privileges. Inclusion criteria specified in the Methods would allow other researchers to identify similar cohorts of patients as we used here for these analyses; however, TriNetX is a live platform with new data being added daily so exact counts will vary. To gain access to the data, a request can be made to TriNetX (join@trinetx.com), but costs might be incurred, and a data sharing agreement would be necessary.

#### Research involving human participants, their data, or biological material

Policy information about studies with <u>human participants or human data</u>. See also policy information about <u>sex, gender (identity/presentation)</u>, <u>and sexual orientation</u> and <u>race</u>, <u>ethnicity</u> and <u>racism</u>.

Reporting on sex and gender

Analysis stratified by sex was performed. The sex was as recorded in the individual's electronic health record.

Reporting on race, ethnicity, or other socially relevant groupings

Self-reported race and ethnicity in TriNetX come from the individual's electronic health record. TriNetX maps race to the following categories: Asian, American Indian or Alaskan Native, Black or African American, Native Hawaiian or Other, White, Unknown race. It maps ethnicity to the following categories: Hispanic or Latino, Not hispanic or latino, Unknown ethnicity.

Population characteristics

All these characteristics are reported in full in Table 1 and Supplementary Tables 2-9.

Recruitment

There was no recruitment as this was a real-world analysis based on electronic health records data. All individuals with a recorded shingles vaccine at the age of 65 or over, between October 2014 and October 2020 and who did not have a diagnosis of a neurodegenerative condition before their vaccine were included.

Ethics oversight

De-identification data are formally attested as per Section §164.514(b)(1) of the HIPAA Privacy Rule, superseding TriNetX's waiver from the Western Institutional Review Board; no further ethical approval was thus needed.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

## Field-specific reporting

Please select the one below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.				
Life sciences	Behavioural & social sciences	Ecological, evolutionary & environmental sciences		
For a reference copy of the document with all sections, see <u>nature.com/documents/nr-reporting-summary-flat.pdf</u>				

## Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size

This is a real-world data analysis which includes all individuals who received a shingles vaccine between October 2014 and October 2020. No sample size calculation was therefore performed.

Data exclusions

Pre-established exclusion criteria included any pre-existing neurodegenerative condition before shingles vaccination. These include dementia (since individuals could not have the outcome before the exposure) and other neurodegenerative conditions (such as Parkinson's disease) since these might indicate that a disease process that might lead to to dementia has already started.

Replication

Findings were replicated in 9 scenarios: (i) when restricting cohorts to those who received the predominant vaccine; (ii) when restricting exposure windows to 6 months either side of the step change; (iii) using coarsened exact matching; after aligning follow-up times (iv) at the cohort level and (v) the individual level; (vi) after adjusting for socioeconomic deprivation; (vii) among women and (viii) men; and (ix) after limiting follow-up so that it is fully contained before the COVID-19 pandemic. All attempts at replication were successful.

Randomization

The influence of covariates was strongly limited by the use of a natural experiment created by the rapid transition from the use of live shingles vaccine to the use of recombinant shingles vaccine. Remaining covariates imbalance (e.g. due to drift in characteristics of the population being vaccinated) were further controlled for by propensity-score matching (in the primary analysis) and by coarsened exact matching (in a robustness analysis).

Blinding

Cohorts were created based on predefined inclusion and exclusion criteria. The outcome was ascertained based on routine electronic health records data and not by any investigator of this study. As a result, blinding was not necessary.

## Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Materials & experime	ntal systems	Methods
n/a   Involved in the study	r	n/a Involved in the study
Antibodies		ChIP-seq
Eukaryotic cell lines		Flow cytometry
Palaeontology and a	archaeology	MRI-based neuroimaging
Animals and other organisms		·
Clinical data		
Dual use research o	f concern	
Plants		
Plants		
Seed stocks	N/A	
Novel plant genotypes	N/A	
Authentication	N/A	